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October 10, 1999

Commissioner of Patents  
Washington, D.C. 20231

Re: New Continuing Prosecution Patent Application (CPA)

Prior application:

APPLICANT:	William G. Wilhelm
SERIAL NO.:	08/820,496
FILED:	March 19, 1997
EXAMINER:	J. Kaplan
GROUP ART UNIT:	2836
MAILING DATE OF ACTION:	April 12, 1999
TITLE:	HIGH EFFICIENCY LIGHTING SYSTEM

Dear Sir:

Enclosed please find Continuing Prosecution (CPA) Utility patent application, including CPA transmittal form, Preliminary Amendment, Request for One Month Extension, check in the sum of \$ 2042 representing the filing fee of \$1607 with extra Claims and the extension fee of \$435 for a three month extension and Certificate of Mailing by Express Mail.

Please acknowledge receipt by returning the enclosed postcard.

Very truly yours,

*Alfred M. Walker*

Alfred M. Walker

AMW:jp  
encl.  
PAT17-cpa

RECEIVED  
OCT 15 1999  
TECHNOLOGY CENTER 2800

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail # *EL26868186302* addressed to Commissioner of Patents, Box Patent Application, Washington, D.C. 20231, on the date indicated below.

Date: Saturday, October 10, 1999

*Jackie Percan*  
Jackie Percan

**FEE TRANSMITTAL**

Patent fees are subject to annual revision on October 1, 1997.

These are the fees effective October 1, 1997.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$) 2042

Complete If Known

Application Number	08/820,496
Filing Date	
First Named Inventor	William G. Wilhelm
Examiner Name	J. Kaplan
Group / Art Unit	2836
Attorney Docket No.	nextek-lighting-cpa

## METHOD OF PAYMENT (check one)

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number  
Deposit Account Name

23-0120

Alfred M. Walker

☒ Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17☐ Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101 790	201 395	Utility filing fee	380
106 330	206 165	Design filing fee	
107 540	207 270	Plant filing fee	
108 790	208 395	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$) 380

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
100	20** = 80	9	720
16	3** = 13	39	507
Multiple Dependent	0	0	0

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103 22	203 11	Claims in excess of 20
102 82	202 41	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 82	209 41	** Reissue independent claims over original patent
110 22	210 11	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 1227

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 60	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
116 110	216 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 950	217 475	Extension for reply within third month	435
118 1,510	218 755	Extension for reply within fourth month	
128 2,060	228 1,030	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,320	241 660	Petition to revive - unintentional	
142 1,320	242 660	Utility issue fee (or reissue)	
143 450	243 225	Design issue fee	
144 670	244 335	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 60	123 60	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 790	246 395	Filing a submission after final rejection (37 CFR 1.129(a))	
149 790	249 395	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 435

## SUBMITTED BY

Typed or Printed Name

Alfred Walker

Signature

Alfred M. Walker

Date

10/10/99

## Complete (if applicable)

Reg. Number

29,983

Deposit Account User ID

23-0120

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.